

snapshot_date	fund_code	fund_name	asset_type_1_name	sector	industry	cusp	ticker	sedol	security_name	shares	security_price	market_value	percent_of_net_assets
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Communication Services	Media	293828107	EVG	2615112	Car Equivalents	182,380	\$ 7,100	\$ 835,044.49	0.23%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Communication Services	Media	786598300	SGA	2767013	Envision Communications Cl. A	93,392	\$ 22,750	\$ 2,124,668.00	0.35%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Communication Services	Media	371901109	GNTX	2366799	Saga Communications Cl. A	32,316	\$ 32,980	\$ 1,065,781.68	0.58%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Auto Components	533531510	LINC	808V219	Lincoln Educational Services	614,615	\$ 6,690	\$ 4,111,774.35	1.13%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Diversified Consumer Services	505336107	LZB	2508405	La-Z-Boy	211,686	\$ 32,230	\$ 6,822,639.78	1.87%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Household Durables	9001A102	MTH	2601326	Meritage Homes	56,200	\$ 97,000	\$ 5,451,400.00	1.50%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Household Durables	745867101	PHM	2708841	PulteGroup	167,950	\$ 45,920	\$ 7,712,364.00	2.12%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Household Durables	561171100	MBUU	8138821	Malibu Boats Cl. A	68,504	\$ 65,980	\$ 4,793,905.92	1.31%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Leisure Products	679371103	MCFT	8D7YR13	MasterCraft Boat Holdings	238,000	\$ 25,080	\$ 5,969,040.00	1.64%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Leisure Products	639108102	NLS	2415936	Nautilus	349,810	\$ 9,310	\$ 3,256,731.10	0.89%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Leisure Products	00258W108	AAN	BLFGN99	Aaron's Company (The)	284,970	\$ 27,540	\$ 7,848,073.80	2.15%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Specialty Retail	025531E06	AEO	2049592	American Eagle Outfitters	82,153	\$ 25,800	\$ 2,119,547.40	0.58%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Specialty Retail	419569101	HVT	2414245	Haverty Furniture	166,445	\$ 45,920	\$ 7,649,756.00	2.12%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Specialty Retail	52110H100	LZV	8F35F77	Lazebys Holdings	201,155	\$ 21,340	\$ 4,292,647.70	1.18%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Specialty Retail	682801101	ONCV	81C7C26	OneWater Marine Cl. A	166,895	\$ 40,210	\$ 6,710,847.95	1.84%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Specialty Retail	76009N100	RNSI	2733092	Reit-A-Center	147,156	\$ 56,210	\$ 8,271,638.76	2.27%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Specialty Retail	824889109	SCVL	2805474	Shoe Carnival	250,116	\$ 32,420	\$ 8,108,760.72	2.22%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Consumer Discretionary	Food & Staples Retailing	927107409	VLGEA	2929211	Village Super Market Cl. A	78,043	\$ 21,680	\$ 1,691,972.24	0.46%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Energy	Oil, Gas & Consumable Fuels	25820R105	DMLP	2336576	Dorchester Minerals L.P.	117,150	\$ 19,170	\$ 2,245,765.50	0.62%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Banks	031001100	ATLO	800M200	Ames National	81,637	\$ 23,260	\$ 1,898,976.62	0.52%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Banks	133094108	CAC	2116659	Camden National	43,594	\$ 47,900	\$ 2,088,152.60	0.57%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Banks	164024101	CHWG	2190921	Chemung Financial	42,724	\$ 45,300	\$ 1,935,397.20	0.53%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Banks	178351105	CHCO	2161778	City Holding Company	57,464	\$ 77,910	\$ 4,477,020.24	1.23%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Financials	317585404	FISI	2596949	CNB Financial	91,552	\$ 24,340	\$ 2,228,375.68	0.61%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Financials	515041107	LARK	2630042	landmark Bancorp	74,504	\$ 27,690	\$ 2,063,015.76	0.57%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Financials	598511109	MOFG	2987178	MidWestOne Financial Group	106,667	\$ 30,160	\$ 3,217,076.72	0.88%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Financials	843881105	NKSH	2532556	National Bankshares	147,117	\$ 36,310	\$ 5,341,818.27	1.47%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Financials	913290102	UNTY	2039968	Unity Bancorp	91,491	\$ 23,400	\$ 2,140,889.40	0.59%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Capital Markets	29977A105	EVV	BLBHX22	Evercore Cl. A	66,900	\$ 133,670	\$ 8,942,520.00	2.45%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Capital Markets	441593100	HUI	BYQ3P47	Houlihan Lokey Cl. A	12,915	\$ 92,100	\$ 1,189,471.50	0.33%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Capital Markets	60786M105	MC	BLG3801	Moele & Company Cl. A	54,101	\$ 61,870	\$ 3,347,228.87	0.92%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Consumer Finance	74319R101	PRG	BLFG666	PRG Holdings	166,998	\$ 42,010	\$ 7,015,585.98	1.92%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	224632106	CRD/A	2232094	CNO Financial Group	251,700	\$ 23,540	\$ 5,925,018.00	1.62%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	25701201	DGCA	2175270	Donaghy Group Cl. A	75,647	\$ 8,970	\$ 678,553.59	0.19%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	2921218104	EIG	BIQ1R89	Employers Holdings	136,241	\$ 14,490	\$ 1,974,132.09	0.54%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	427271102	HRTG	BMN9870	Heritage Insurance Holdings	162,657	\$ 39,490	\$ 6,423,324.93	1.76%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	65005R107	JVR	878RW03	James River Group Holdings	333,944	\$ 6,810	\$ 2,274,138.21	0.62%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	85005R107	KMPR	8524R92	Kemper Corporation	57,482	\$ 37,730	\$ 2,168,795.86	0.59%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	48840U101	SI	2766713	Selective Insurance Group	63,227	\$ 66,790	\$ 4,222,931.33	1.16%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	816300103	TIPT	8C30V41	Tipre	20,280	\$ 75,530	\$ 1,531,748.40	0.42%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	88822Q103	TIPT	8C30V41	Tipre	185,821	\$ 10,020	\$ 1,861,926.42	0.51%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	843380106	SMBC	2570501	Southern Missouri Bancorp	78,018	\$ 28,950	\$ 4,246,096.50	1.16%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	887098101	TSBK	2147734	Timberland Bancorp	146,670	\$ 44,890	\$ 6,562,228.02	1.81%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Financials	Insurance	898349204	TRST	8N0ZB97	TrustCo Bank Corp NY	113,577	\$ 31,970	\$ 3,631,056.69	1.00%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Biotechnology	14888U101	CPRX	BLG7Q03	Catalyst Pharmaceuticals	941,494	\$ 5,300	\$ 4,989,918.20	1.37%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Biotechnology	19249H103	CHRS	BRK0149	Coherus BioSciences	79,312	\$ 16,070	\$ 1,274,543.84	0.35%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Biotechnology	921659108	VNDA	BL2W3P6	Vanda Pharmaceuticals	66,500	\$ 17,140	\$ 1,139,810.00	0.31%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Health Care Providers & Services	29358P101	ENSG	BL1WP88	Ensign Group (The)	22,114	\$ 74,890	\$ 1,656,117.46	0.45%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Health Care Providers & Services	60855R100	MOH	2212706	Molina Healthcare	21,000	\$ 271,310	\$ 5,697,510.00	1.56%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Pharmaceuticals	90601106	BDSI	2820165	Biodelivery Sciences International	751,913	\$ 3,610	\$ 2,714,405.93	0.74%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Pharmaceuticals	19459J104	COLL	8X78S93	Colligium Pharmaceuticals	114,100	\$ 19,740	\$ 2,252,334.00	0.62%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Pharmaceuticals	828917106	SUPN	2107437	SIGA Technologies	391,306	\$ 7,390	\$ 2,891,971.34	0.79%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Pharmaceuticals	868459108	SUPN	8722864	Supernus Pharmaceuticals	157,404	\$ 26,670	\$ 4,197,858.00	1.15%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Health Care	Pharmaceuticals	92424T101	VEC	8Q32V17	Vectrus	124,760	\$ 50,280	\$ 6,272,932.80	1.72%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Aerospace & Defense	12008R107	BLDR	80BZM47	Builders FirstSource	53,330	\$ 51,740	\$ 2,759,294.20	0.76%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Building Products	747619104	NX	820XCJ9	Qualex Building Products	102,023	\$ 21,410	\$ 2,184,312.43	0.60%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Building Products	90729Q108	UPFI	8MG6Q01	UPF Industries	43,100	\$ 67,980	\$ 2,929,938.00	0.80%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Commercial Services & Supplies	600544100	MLHR	2594222	Herman Miller	111,303	\$ 37,660	\$ 4,191,670.98	1.15%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Construction & Engineering	199908104	FIX	2036047	Comfort Systems USA	10,771	\$ 71,320	\$ 768,187.72	0.21%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Construction & Engineering	390607109	GLDD	BL1DZ49	Grand Lakes Dredge & Dock	359,991	\$ 15,090	\$ 5,432,264.19	1.49%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Construction & Engineering	55405W104	MYRG	83CL518	MYR Group	14,241	\$ 99,500	\$ 1,416,979.50	0.39%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Construction & Engineering	66746101	NWPK	2035925	Northwest Pipe	72,886	\$ 23,700	\$ 1,727,398.20	0.47%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Construction & Engineering	74164F103	PRIM	BLGC200	Primoris Services	286,790	\$ 24,480	\$ 7,023,487.10	1.93%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Construction & Engineering	859241101	STRL	6232876	Sterling Construction	171,949	\$ 22,670	\$ 3,884,481.83	1.07%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Machinery	011311107	ALG	2021634	Alamo Group	8,607	\$ 139,530	\$ 1,200,934.71	0.33%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Machinery	630055108	FSS	2339886	Federal Signal	15,122	\$ 38,620	\$ 584,011.64	0.16%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Machinery	300551204	MILR	2801193	Milner Industries	125,007	\$ 34,040	\$ 4,255,238.28	1.17%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Professional Services	068463108	BBSI	2082675	Barrett Business Services	25,848	\$ 76,260	\$ 1,971,168.48	0.54%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Professional Services	422819102	HSI	2413071	Heidrick & Struggles International	137,069	\$ 44,630	\$ 6,117,389.47	1.68%

9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Professional Services	49373101	KFRG	2746982	Kforce	29,514	\$	59,640	\$	1,760,214.96	0.48%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Professional Services	500643200	KEY	2386849	Korn Ferry	56,435	\$	72,360	\$	4,083,636.60	1.12%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Professional Services	761221005	RGP	2697853	Resources Connection	249,364	\$	15,780	\$	3,933,885.92	1.08%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Professional Services	770323103	RHI	2110703	Robert Half International	8,664	\$	100,330	\$	869,259.12	0.24%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Road & Rail	093937C105	ARCB	BLTF577	ArctBest	116,849	\$	81,770	\$	9,554,742.73	2.62%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Industrials	Road & Rail	80689H102	SNDR	BYVN953	Schneider National Cl. B	262,300	\$	22,740	\$	5,964,702.00	1.64%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Communications Equipment	950755108	WERN	2948852	Werner Enterprises	115,050	\$	31,910	\$	3,665,661.25	1.01%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	294268107	PLUS	2597748	NETGEAR	51,583	\$	102,610	\$	5,292,931.63	1.45%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	633231100	FN	8415Z18	Fabrinet	14,580	\$	102,510	\$	1,494,995.80	0.41%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	45765U103	NSIT	2475060	Insight Enterprises	58,652	\$	90,080	\$	5,283,372.16	1.45%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	466313103	JBL	2471789	Jabil	51,500	\$	58,370	\$	3,006,055.00	0.82%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	49428J109	KE	BRKFN59	Kimball Electronics	279,995	\$	25,770	\$	7,215,471.15	1.98%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	591520200	MEI	2583297	Methode Electronics	74,622	\$	42,050	\$	3,137,855.10	0.86%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	693181100	CNXX	2157175	PC Connection	42,176	\$	44,030	\$	1,857,009.28	0.51%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	729132100	PLXS	2692160	Plexus Corporation	18,100	\$	89,410	\$	1,618,321.00	0.44%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	801056102	SANM	892RRW2	Sammina Corporation	227,093	\$	38,540	\$	8,752,164.22	2.40%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	87162W100	SNX	2002554	SYNNEX Corporation	61,142	\$	104,100	\$	6,364,882.20	1.75%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Electronic Equipment, Instruments & Components	928298108	VSH	2930149	Visay Intertechnology	358,561	\$	20,090	\$	7,203,490.49	1.98%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	IT Services	20602D101	CNXC	BNKVVY4	Concentrix Corporation	9,100	\$	177,000	\$	1,610,700.00	0.44%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	IT Services	64690M101	IBEX	BLF81K2	IBEX	111,115	\$	17,000	\$	1,888,955.00	0.52%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Semiconductors & Semiconductor Equipment	031652100	AMKR	2242939	Amkor Technology	76,703	\$	24,950	\$	1,913,739.85	0.52%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Semiconductors & Semiconductor Equipment	192576106	COHU	2208426	Coahu	116,116	\$	31,940	\$	3,708,745.04	1.02%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Semiconductors & Semiconductor Equipment	501242101	KLIC	2498001	Kulicke & Soffa Industries	128,313	\$	58,280	\$	7,478,081.64	2.05%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Semiconductors & Semiconductor Equipment	55306N104	MKSI	2404871	MKS Instruments	10,191	\$	150,910	\$	1,537,923.81	0.42%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Common Stock	Information Technology	Semiconductors & Semiconductor Equipment	566324109	MMI	BF-WGVX2	Marcus & Millichap	33,525	\$	40,620	\$	1,361,785.50	0.37%
9/30/2021	RCS	Royce Capital Fund - Small-Cap Portfolio	Repurchase Agreement	Real Estate	Real Estate Management & Development	85748R009			Fixed Income Clearing Corporation	2,791,205	\$	100,000	\$	2,791,205.00	0.77%