

Agent of Record/Broker Dealer Change

- Block transfer requests should be faxed on your company letterhead. Do not use this form.
- For questions regarding the completion of this form, call our office at 1-800-504-0469.
- This request may be returned by mail or faxed to 1-518-452-3486.

RiverSource Contract Number

Part 1 Annuity Contract Owner Information

Please process a change to the agent of record and/or broker dealer for the following annuity contract owner:

Contract Owner Name

Annuity Contract Number(s) affiliated with the Contract Owner named above Brokerage Account Number

Owner's Signature

Date (MMDDYYYY) Phone Number

X

Joint Owner's Signature

Date (MMDDYYYY) Phone Number

X

Part 2 Broker Dealer and Agent Information

Name of Broker Dealer

Agent Name(s)

Agent Social Security Number Share

%

%

%

%

Primary Servicing Agent Street Address

Total: 100%

City

State

Zip Code

Agent Signature

Date (MMDDYYYY) Phone Number

X

Agent Signature (if applicable)

Date (MMDDYYYY) Phone Number

X

Agent Signature (if applicable)

Date (MMDDYYYY) Phone Number

X

Agent Signature (if applicable)

Date (MMDDYYYY) Phone Number

X

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