

Consent of Spouse for Beneficiary Designation



Consent of spouse must be signed if the following conditions are present:

(a) **Community property state:** You live in a community property state (Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington and Wisconsin), your spouse is living and you are NOT designating your spouse as the sole primary beneficiary;

or

(b) **For 403(b) plans that are subject to the Employee Retirement Income Security Act (ERISA),** your spouse is living and you are NOT designating your spouse as the sole primary beneficiary.

If you are unsure if your plan is subject to ERISA (and consequently spousal consent requirements) check with your plan sponsor (usually your employer).

Generally:

- 403(b) plans sponsored by a governmental entity such as a public school or university are not subject to ERISA.
- 403(b) plans sponsored by a church or qualified church controlled organization are generally not subject to ERISA, however some exceptions may apply.
- 403(b) plans sponsored by a 501(c)(3) (non-profit) organization may be subject to ERISA depending on the design and operation of the plan.

Associated Brokerage Account Number

133

Part 1 Owner Information

Owner Name

Part 2 Plan Type

Plan Type: ☐ Nonqualified ☐ Traditional IRA ☐ Roth IRA ☐ SEP IRA ☐ Inherited Traditional IRA
☐ SIMPLE IRA ☐ TSA 403(b) Public Schools and Universities
☐ TSA 501(c)(3) Nonprofit ☐ TSA Optional Retirement Program (ORP)

Part 3 Spousal Existence

I currently am a resident of one of the community property states: Arizona, California, Idaho, Louisiana, New Mexico, Nevada, Texas, Washington, or Wisconsin, or a participant in a 403(b) plan subject to ERISA.

I currently: (check one)

- ☐ Do have a spouse — spousal consent and spousal signature required below if spouse is not sole primary beneficiary.
☐ Do not have a spouse.

Part 4 Contract Owner Signature

Signature of Contract Owner

Date Signed (MMDDYYYY)

X

Part 5 Spousal Consent and Signature

I have reviewed the beneficiary designation dated for this annuity contract and, as the spouse of the contract owner, I consent to the beneficiary designation and all contributions of money or property to be used for the purchase of such accounts to be issued in my spouse's name, whether heretofore, now or hereafter, and I relinquish all my statutory or other rights thereto.

Signature of Contract Owner's Spouse

Date Signed (MMDDYYYY)

X

Part 6 Plan Sponsor Signature

 Spousal consent is required for 403(b) plans subject to ERISA and the signature must be witnessed by either the plan sponsor/administrator or a notary.

For ERISA Plans, with the authority to act on behalf of the Plan, I certify that the participant's spouse personally appeared before me with evidence to be the person whose name is named above and executed the foregoing document voluntarily.


Plan Sponsor/Administrator Name

Plan Sponsor/Administrator Signature

Date Signed (MMDDYYYY)

X

Part 7 Notarization

 Spousal consent is required for 403(b) plans subject to ERISA and the signature must be witnessed by either the plan sponsor/administrator or a notary.

I certify that personally appeared before me with satisfactory evidence to be the person whose name is subscribed within the instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument executed the instrument.

I certify under PENALTY OF PERJURY under laws of the State of , Country of that the foregoing paragraph is true and correct. WITNESS my hand and official Seal:

Notary Name

Signature of Notary

Date Signed (MMDDYYYY)

X

Notary Commission Number

Notary Commission Expiration Date (mm/dd/yyyy)

Notary Seal: