



Service address:

RiverSource Life Insurance Co. of New York

70122 Ameriprise Financial Center, Minneapolis, MN 55474

Client Services: 1-800-504-0469 riversource.com



Death Claim Deferral Request

RiverSource Contract Number

Complete this form if you wish to defer your claim.

Full Name of Decedent

Date of Death

Full Name of Beneficiary

Beneficiary Tax Identification Number

Individual Beneficiary or Trust or Estate Beneficiary or Corporation or Charitable Organization Beneficiary

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Date of Birth

Phone Number

Relationship to Deceased

Address

City

State

Zip Code

Deferred Non Qualified Annuity Contracts issued after January 18, 1985

The Internal Revenue Code Section 72(s) states the entire death proceeds of the contract must be distributed within five years of the owner's death. This distribution requirement does not apply if the beneficiary elects spousal continuation or timely elects to take the proceeds over a set period of time (which may exceed five years). If electing an annuity payment plan, the first payment must be received within one year of the date of death.

IRA or 403(b) Annuity Contracts

If the deceased died prior to his/her required beginning date, and if you are a spouse beneficiary, you have until the *later* of (i) 12/31 of the year the decedent would have attained age 70½, or (ii) 12/31 of the year following the year of death to begin distributions. The required beginning date is April 1 of the year following the year the IRA owner turned 70½. In all other cases, you must distribute the minimum by December 31 each year, beginning in the year following the year of the decedent's death. It is your responsibility to both determine and make sure you distribute the required amount each year. Please see IRS Publication 590-B and your tax professional for further information.

Contract Provisions

Contract fees will continue. If this option is associated with a variable annuity contract, the investment of the funds will remain the same as invested in the original contract, subject to market fluctuation, until a Death Claim Statement along with any other requirements are received.

You may complete the death claim process by submitting a Death Claim Statement along with any other requirements.



Taxpayer Identification Number and Certification

Check appropriate box for federal tax classification (required):

Individual/Sole proprietor

Partnership

Trust

Corporation

Revocable (Optional Additional Trust Detail)

C-Corporation

Irrevocable (Optional Additional Trust Detail)

S-Corporation

Estate

Limited Liability Company (LLC)

C-Corporation

Other _____

S-Corporation

Partnership

Check here if beneficiary is an Exempt Payee (defined in Form W-9 instructions)

Exempt Payee code: _____

A code must be supplied for accounts with an Exempt Payee type.

Foreign Account Tax Compliance Act (FATCA) Reporting

A FATCA exemption code is required for persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. If you are only submitting this form for an account you hold in the United States, no code is required. Otherwise, submit IRS Form W-9 separately.

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined in Form W-9 instructions), and
4. The payee is exempt from Foreign Account Tax Compliance Act (FATCA) reporting.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Non-U.S. persons should submit the appropriate Form W-8.

Form W-9 and Form W-8 and their instructions are available upon request or on irs.gov.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Notary Public or Signature Guarantee

Place notary seal here

Signature of Beneficiary

X _____

Date Signed

Signature of Notary Public

X _____

NOTE: No faxes accepted. Please mail.